

**Glaxo's and Teva's Joint Claim Construction Chart
for U.S. Patent No. 5,068,249**

Claim Element	Glaxo's Position	Teva's Position														
	<p>evidence quoted and cited in the discussion of claim 1 above. In addition, Glaxo wishes to call the Court's attention to the following intrinsic evidence specifically related to claim 2.</p> <p>'249 patent, Col. 1:54-56 (Ex. 1):</p> <p>"The amount of ethanol present in the formulation is such that the resulting formulation has the <i>enhanced stability</i>. Preferably the amount of ethanol in the composition on a weight/volume basis of the complete formulation, is within the range 2.5% to 10%, and more particularly is between 5 to 10% w/v, more especially 7-8% w/v." (Emphasis added).</p> <p>'249 patent, Col. 2:30-34 (Ex. 1):</p> <p>"The amount of ethanol in the formulation for oral administration, expressed as a percentage of the complete formulation on a weight/volume basis, is preferably within the range 2.5 to 10%, and more especially between 5 to 10%, more especially 7-8%." (Emphasis added).</p>	<p>containing 7% to 8% weight/volume ethanol based on the complete formulation.</p> <p>'249 patent, claim 12 (Ex. 1):</p> <p>12. A pharmaceutical composition according to claim 11 wherein said pH is obtained by the use of buffer salts.</p> <p>'249 patent, Col. 2:30-34 (Ex. 1):</p> <p>"The amount of ethanol in the formulation for oral administration, expressed as a percentage of the complete formulation on a weight/volume basis, is preferably within the range 2.5 to 10%, and more particularly between 5 to 10%, more especially 7-8%."</p> <p>'249 patent, Col. 2:53-65 (Ex. 1):</p> <table><tr><td colspan="2">"Ranitidine oral liquid formulation (150 mg/10ml) expressed as free base</td></tr><tr><td></td><td>% w/v</td></tr><tr><td>Ranitidine hydrochloride</td><td>1.68</td></tr><tr><td>Ethanol</td><td>7.5</td></tr><tr><td>Potassium dihydrogen orthophosphate</td><td>0.095</td></tr><tr><td>Sodium hydrogen orthophosphate anhydrous</td><td>0.350</td></tr><tr><td>Hydroxypropylmethylcellulose</td><td>qs</td></tr></table>	"Ranitidine oral liquid formulation (150 mg/10ml) expressed as free base			% w/v	Ranitidine hydrochloride	1.68	Ethanol	7.5	Potassium dihydrogen orthophosphate	0.095	Sodium hydrogen orthophosphate anhydrous	0.350	Hydroxypropylmethylcellulose	qs
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	<p>'249 patent, Col. 2:47-65 (Ex. 1):</p> <p>“An illustrative example of a formulation according to the invention is as follows. . . .</p> <table><tr><td colspan="2">Ranitidine oral liquid formulation (150 mg/10 ml) expressed as free base</td></tr><tr><td></td><td>% w/v</td></tr><tr><td>Ranitidine hydrochloride</td><td>1.68</td></tr><tr><td><i>Ethanol</i></td><td>7.5</td></tr><tr><td>Potassium dihydrogen orthophosphate</td><td>0.095</td></tr><tr><td>Disodium hydrogen orthophosphate anhydrous</td><td>0.350</td></tr><tr><td>Hydroxypropylmethylcellulose</td><td>qs</td></tr><tr><td>Preservative</td><td>qs</td></tr><tr><td>Sweetening agents</td><td>qs</td></tr><tr><td>Flavour</td><td>qs</td></tr><tr><td>Purified water BP to</td><td>100ml.</td></tr></table> <p>(Emphasis added).</p> <p>'249 prosecution history, Request for Reconsideration dated May 10, 1991 (Ex. 3, G000202-11):</p> <p>“Applicant submits herewith a Declaration of Dr. John Hempenstall which provides convincing evidence that the compositions of the present invention show a quite unexpected advantage over the teachings of GB-A-2142820 <i>in terms of the stability of the ranitidine in the composition</i>. In this connection, it is noted that the liquid formulation</p>	Ranitidine oral liquid formulation (150 mg/10 ml) expressed as free base			% w/v	Ranitidine hydrochloride	1.68	<i>Ethanol</i>	7.5	Potassium dihydrogen orthophosphate	0.095	Disodium hydrogen orthophosphate anhydrous	0.350	Hydroxypropylmethylcellulose	qs	Preservative	qs	Sweetening agents	qs	Flavour	qs	Purified water BP to	100ml.	<p>Preservative qs</p> <p>Sweetening agents qs</p> <p>Flavour qs</p> <p>Purified water BP to 100ml.”</p>
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	<p>without ethanol which is used in the Declaration for purposes of comparison is the same as the formulation of Example 3 of Padfield et al. Accordingly, the Declaration presents a direct comparison between a composition according to the present invention and a composition according to the prior art. . . . Applicant acknowledges that ethanol has previously been used in pharmaceutical compositions. However, the purpose for which ethanol has been included has been either as <i>a solvent or as a preservative against bacterial contamination</i>. There was, however, no reason to suppose that either of these functions of ethanol would have had any beneficial effects in terms of <i>limiting the degradation of ranitidine</i> in aqueous formulations thereof.” (5/10/91 Request for Reconsideration, Ex. 3, G000205) (emphasis added).</p> <p>‘249 prosecution history, Declaration of Dr. John Hemenstall executed April 12, 1991 (Ex. 3, G000208-11):</p> <p>“5. In my laboratory it was found that for an aqueous based ranitidine formulation, a significant and <i>surprising enhancement in the stability of ranitidine</i> is achieved by the addition of ethanol to the formulation.</p> <p style="text-align: center;">* *</p> <p>6. . . . The acceptable shelf life for an aqueous formulation containing ranitidine hydrochloride is</p>	

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	<p>considered to be the time at which no more than 5% of the ranitidine present in the formulation has degraded. Accordingly, the figure determined from the stability studies was the time (in months) for 5% ranitidine loss calculated as the lower 95% confidence limit. The results are as follows:</p> <table><tr><th colspan="2">Without Ethanol</th><th colspan="3">With 7.5% Ethanol</th></tr><tr><th>Batch</th><th>Batch</th><th>Batch</th><th>Batch</th><th>Batch</th></tr><tr><th>Temperature</th><th>1</th><th>2</th><th>3</th><th>4</th><th>5</th></tr><tr><td>30°C</td><td>12.5</td><td>13.6</td><td>19.5</td><td>17.0</td><td>20.8</td></tr><tr><td>37°C</td><td>5.4</td><td>4.7</td><td>7.8</td><td>7.1</td><td>7.5</td></tr><tr><td>45°C</td><td>1.8</td><td>2.3</td><td>2.9</td><td>2.9</td><td>2.8</td></tr></table> <p>Thus, the formulation with ethanol has an average shelf life at 30°C of 19 months compared with 13 months when ethanol is excluded from the formulation. This is a highly significant and valuable improvement.</p> <p>The stability of oral liquid formulations as described above except containing varying amounts of ethanol was also studied at 37°C and 45°C. The clear advantageous effects of the presence of ethanol can be seen from the following table which gives the time (in months) for 5% ranitidine loss (calculated as the lower 95% confidence limit).</p>	Without Ethanol		With 7.5% Ethanol			Batch	Batch	Batch	Batch	Batch	Temperature	1	2	3	4	5	30°C	12.5	13.6	19.5	17.0	20.8	37°C	5.4	4.7	7.8	7.1	7.5	45°C	1.8	2.3	2.9	2.9	2.8	
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Claims 3 (dependent on Claim 1) and 11 “7% to 8% weight/volume ethanol”	<p><u>Glaxo's Proposed Construction:</u> 7% to 8% weight/volume ethanol sufficient to enhance the stability of the ranitidine active ingredient in the aqueous formulation for oral administration.</p> <p><u>Intrinsic Evidence:</u></p> <p>‘249 patent, claim 1 (Ex. 1):</p> <p>“A pharmaceutical composition which is an aqueous formulation for oral administration of an effective amount of ranitidine and/or one or more</p>	<p><u>Teva's Proposed Construction:</u> 7% to 8% weight/volume ethanol.</p> <p><u>Intrinsic Evidence:</u></p> <p>'249 patent, claim 2 (Ex. 1):</p> <p>"2. A pharmaceutical composition according to claim 1 containing 2.5% to 10% weight/volume ethanol based on the complete formulation."</p>																								

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	<p>physiological acceptable salts thereof, said formulation comprising <i>a stabilizing effective amount</i> of ethanol and said composition having a pH in the range of 6.5 to 7.5." (Emphasis added).</p> <p>'249 patent, claim 3 (Ex. 1):</p> <p>"A pharmaceutical composition according to claim 1 containing 7% to 8% weight/volume ethanol based on the complete formulation." (Emphasis added).</p> <p>'249 patent, claim 11 (Ex. 1):</p> <p>"A pharmaceutical composition which is an aqueous formulation of ranitidine for oral administration containing 150 mg ranitidine per 10 ml dose expressed as a free base, said formulation having a pH in the range of 7.0 to 7.3 and also containing 7% to 8% weight/volume ethanol based on the complete formulation." (Emphasis added).</p> <p>Glaxo incorporates by reference all of the intrinsic evidence cited and quoted in the discussion of claims 1 and 2. In addition, Glaxo wishes to call the Court's attention to the following intrinsic evidence specifically related to claims 3 and 11.</p> <p>'249 patent, Col. 1:54-56 (Ex. 1):</p> <p>"The amount of ethanol present in the formulation is such that the resulting formulation has the <i>enhanced stability</i>. Preferably the amount of ethanol in the</p>	<p>'249 patent, claim 3 (Ex. 1):</p> <p>"3. A pharmaceutical composition according to claim 1 containing 7% to 8% weight/volume ethanol based on the complete formulation."</p> <p>'249 patent, claim 11 (Ex. 1):</p> <p>11. A pharmaceutical composition which is an aqueous formulation of ranitidine suitable for oral administration containing 150 mg ranitidine per 10 ml dose expressed as free base, said formulation having a pH in the range 7.0 to 7.3 and also containing 7% to 8% weight/volume ethanol based on the complete formulation.</p> <p>'249 patent, claim 12 (Ex. 1):</p> <p>12. A pharmaceutical composition according to claim 11 wherein said pH is obtained by the use of buffer salts.</p> <p>'249 patent, Col. 2:30-34 (Ex. 1):</p> <p>"The amount of ethanol in the formulation for oral administration, expressed as a percentage of the complete formulation on a weight/volume basis, is preferably within the range 2.5 to 10%, and more particularly between 5 to 10%, more especially 7-8%."</p>

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	<div><div>*</div><div><p>6. . . . The acceptable shelf life for an aqueous formulation containing ranitidine hydrochloride is considered to be the time at which no more than 5% of the ranitidine present in the formulation has degraded. Accordingly, the figure determined from the stability studies was the time (in months) for 5% ranitidine loss calculated as the lower 95% confidence limit. The results are as follows:</p><table><tr><th></th><th>Without Ethanol</th><th>With 7.5% Ethanol</th><th></th><th></th><th></th></tr><tr><th>Temperature</th><th>Batch 1</th><th>Batch 2</th><th>Batch 3</th><th>Batch 4</th><th>Batch 5</th></tr><tr><td>30°C</td><td>12.5</td><td>13.6</td><td>19.5</td><td>17.0</td><td>20.8</td></tr><tr><td>37°C</td><td>5.4</td><td>4.7</td><td>7.8</td><td>7.1</td><td>7.5</td></tr><tr><td>45°C</td><td>1.8</td><td>2.3</td><td>2.9</td><td>2.9</td><td>2.8</td></tr></table></div><div><p>Thus, the formulation with ethanol has an average shelf life at 30°C of 19 months compared with 13 months when ethanol is excluded from the formulation. This is a highly significant and valuable improvement.</p><p>The stability of oral liquid formulations as described above except containing varying amounts of ethanol was also studied at 37°C and 45°C. The clear advantageous effects of the presence of ethanol can</p></div></div>		Without Ethanol	With 7.5% Ethanol				Temperature	Batch 1	Batch 2	Batch 3	Batch 4	Batch 5	30°C	12.5	13.6	19.5	17.0	20.8	37°C	5.4	4.7	7.8	7.1	7.5	45°C	1.8	2.3	2.9	2.9	2.8	
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Claims 1-10	<p>Glaxo's Proposed Construction: A water based formulation, wherein water is the solvent (<i>i.e.</i>, the liquid present in the formulation in the largest amount), administered orally for gastrointestinal absorption.</p>	<p>Teva's Proposed Construction: This clause need not and should not be construed, given Teva's judicial admission, as stated during the June 30, 2005 telephone conference with the Court.</p>																								

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	<p><u>Intrinsic Evidence:</u></p> <p>'249 patent, Col. 1:40-44 (Ex. 1):</p> <p>"We have now surprisingly found that the stability of ranitidine in aqueous based formulations and more particularly <i>aqueous based formulations for oral administration</i> may be substantially enhanced by the addition of ethanol to the formulation." (Emphasis added).</p> <p>'249 patent, Col. 2:1-10 (Ex. 1):</p> <p>"A preferred embodiment of the invention is an <i>aqueous formulation for oral administration</i>. Such a formulation may comprise ranitidine and/or one or more of its physiologically acceptable salts <i>dissolved in water</i>, ethanol, a preservative and a viscosity enhancing agent. Preferably the required pH of the formulation is obtained by the use of appropriate buffer salts. Optionally the composition may also contain other conventional excipients such as a sweetener, a flavour and/or flavouring aids." (Emphasis added).</p> <p>'249 patent, Col. 2:38-43</p> <p>"The aqueous formulations for oral administration are conveniently prepared by mixing an aqueous solution of ranitidine and/or one or more of its physiologically acceptable salts together with ethanol and the excipients, with aqueous solution or</p>	

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	<p>dispersion of the viscosity enhancing agent.”</p> <p>‘249 patent, Col. 2:47-65 (Ex. 1):</p> <p>“An illustrative example of a formulation according to the invention is as follows. . . .</p> <table><tr><td colspan="2">Ranitidine oral liquid formulation (150 mg/10ml) expressed as free base</td></tr><tr><td></td><td>% w/v</td></tr><tr><td>Ranitidine hydrochloride</td><td>1.68</td></tr><tr><td>Ethanol</td><td>7.5</td></tr><tr><td>Potassium dihydrogen orthophosphate</td><td>0.095</td></tr><tr><td>Disodium hydrogen orthophosphate anhydrous</td><td>0.350</td></tr><tr><td>Hydroxypropylmethylcellulose</td><td>qs</td></tr><tr><td>Preservative</td><td>qs</td></tr><tr><td>Sweetening agents</td><td>qs</td></tr><tr><td>Flavour</td><td>qs</td></tr><tr><td>Purified water BP to</td><td>100ml.</td></tr></table> <p>(Emphasis added).</p> <p>‘249 prosecution history, Declaration of Dr. John Hempenstall executed April 12, 1991 (Ex. 3, G000208-11):</p> <p>“5. In my laboratory it was found that for an aqueous based ranitidine formulation, a significant and surprising enhancement in the stability of ranitidine is achieved by the addition of ethanol to</p>	Ranitidine oral liquid formulation (150 mg/10ml) expressed as free base			% w/v	Ranitidine hydrochloride	1.68	Ethanol	7.5	Potassium dihydrogen orthophosphate	0.095	Disodium hydrogen orthophosphate anhydrous	0.350	Hydroxypropylmethylcellulose	qs	Preservative	qs	Sweetening agents	qs	Flavour	qs	Purified water BP to	100ml.	
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	<p><i>the formulation.</i></p> <p style="text-align: center;">* * *</p> <p>7. The above results clearly show that ethanol has a beneficial effect upon the stability of ranitidine in <i>aqueous based formulations</i> and furthermore I am not aware of any teaching in the art that would lead me to expect such an effect.” (5/10/91 Request for Reconsideration, Ex. 3, ¶¶ 5 and 7 at G000209, 211) (emphasis added).</p>	
<p>Claims 11-12</p> <p>“aqueous formulation of ranitidine suitable for oral administration”</p>	<p><u>Glaxo's Proposed Construction:</u> A water based formulation of ranitidine, wherein water is the solvent (<i>i.e.</i>, the liquid present in the formulation in the largest amount), administered orally for gastrointestinal absorption.</p> <p><u>Intrinsic Evidence:</u></p> <p>‘249 patent, Col. 1:40-44 (Ex. 1):</p> <p>“We have now surprisingly found that the stability of ranitidine in aqueous based formulations and more particularly <i>aqueous based formulations for oral administration</i> may be substantially enhanced by the addition of ethanol to the formulation.” (Emphasis</p>	<p><u>Teva's Proposed Construction:</u> This clause need not and should not be construed, given Teva's judicial admission, as stated during the June 30, 2005 telephone conference with the Court.</p>

Glaxo's and Teva's Joint Claim Construction Chart
for U.S. Patent No. 5,068,249

Claim Element	Glaxo's Position	Teva's Position
	<p>added).</p> <p>'249 patent, Col. 2:1-10 (Ex. 1):</p> <p>"A preferred embodiment of the invention is an <i>aqueous formulation for oral administration</i>. Such a formulation may comprise ranitidine and/or one or more of its physiologically acceptable salts <i>dissolved in water</i>, ethanol, a preservative and a viscosity enhancing agent. Preferably the required pH of the formulation is obtained by the use of appropriate buffer salts. Optionally the composition may also contain other conventional excipients such as a sweetener, a flavour and/or flavouring aids." (Emphasis added).</p> <p>'249 patent, Col. 2:38-43</p> <p>"The aqueous formulations for oral administration are conveniently prepared by mixing an aqueous solution of ranitidine and/or one or more of its physiologically acceptable salts together with ethanol and the excipients, with aqueous solution or dispersion of the viscosity enhancing agent."</p>	

Glaxo's and Teva's Joint Claim Construction Chart
for U.S. Patent No. 5,068,249

Claim Element	Glaxo's Position	Teva's Position																						
	<p>‘249 patent, Col. 2:47-65 (Ex. 1):</p> <p>“An illustrative example of a formulation according to the invention is as follows. . . .</p> <table><tr><th colspan="2">Ranitidine oral liquid formulation (150 mg/10ml) expressed as free base</th></tr><tr><th></th><th>% w/v</th></tr><tr><td>Ranitidine hydrochloride</td><td>1.68</td></tr><tr><td>Ethanol</td><td>7.5</td></tr><tr><td>Potassium dihydrogen orthophosphate</td><td>0.095</td></tr><tr><td>Disodium hydrogen orthophosphate anhydrous</td><td>0.350</td></tr><tr><td>Hydroxypropylmethylcellulose</td><td>qs</td></tr><tr><td>Preservative</td><td>qs</td></tr><tr><td>Sweetening agents</td><td>qs</td></tr><tr><td>Flavour</td><td>qs</td></tr><tr><td>Purified water BP to</td><td>100ml.</td></tr></table> <p>(Emphasis added).</p> <p>‘249 prosecution history, Declaration of Dr. John Hempenstall executed April 12, 1991 (Ex. 3, G000208-11):</p> <p>“5. In my laboratory it was found that for an aqueous based ranitidine formulation, a significant and surprising enhancement in the stability of ranitidine is achieved by the addition of ethanol to the formulation.</p>	Ranitidine oral liquid formulation (150 mg/10ml) expressed as free base			% w/v	Ranitidine hydrochloride	1.68	Ethanol	7.5	Potassium dihydrogen orthophosphate	0.095	Disodium hydrogen orthophosphate anhydrous	0.350	Hydroxypropylmethylcellulose	qs	Preservative	qs	Sweetening agents	qs	Flavour	qs	Purified water BP to	100ml.	
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**Glaxo's and Teva's Joint Claim Construction Chart
for U.S. Patent No. 5,068,249**

Claim Element	Glaxo's Position	Teva's Position
	<p style="text-align: center;">* * *</p> <p>7. The above results clearly show that ethanol has a beneficial effect upon the stability of ranitidine in <i>aqueous based formulations</i> and furthermore I am not aware of any teaching in the art that would lead me to expect such an effect.” (5/10/91 Request for Reconsideration, Ex. 3, ¶¶ 5 and 7 at G000209, 211) (emphasis added).</p>	

Dated: June 30, 2006

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CERTIFICATE OF SERVICE

I hereby certify that on June 30 2006, I electronically filed the foregoing **JOINT CLAIM CONSTRUCTION CHART FOR U.S. PATENT NO. 5,068,249** with the Clerk of Court using CM/ECF which will send notification of such filing and we will hand deliver such filing to the following:

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